



## INTEGRATION JOINT BOARD

<b>Date of Meeting</b>	25 May 2021
<b>Report Title</b>	Integration Joint Board – 6 monthly Strategic Risk Register review
<b>Report Number</b>	HSCP.21.054
<b>Lead Officer</b>	Sandra MacLeod
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<b>Consultation Checklist Completed</b>	Yes
<b>Directions Required</b>	No
<b>Appendices</b>	Appendix A – Strategic Risk Register

### 1. Purpose of the Report

- 1.1. To present the Integration Joint Board (IJB) with its Strategic Risk Register, as part of the IJB's 6 monthly consideration and review of its strategic risks.
- 1.2. To present to the IJB the decisions from the Risk, Audit and Performance Committee (RAPC) of 27 April 2021 with regards to report HSCP.21.043 - Strategic Risks.

### 2. Recommendations

- 2.1. It is recommended that the Integration Joint Board note Appendix A, the current position of the Strategic Risk Register.
- 2.2. **Add Risk 11:** It is recommended that the IJB approve Appendix A, the proposed addition to the Strategic Risk Register relating to the Integration Joint Board's duties under the Civil Contingencies Act 2004 as endorsed by the Risk, Audit and Performance Committee at its meeting on 27 April 2021.
- 2.3. **Remove Risk 10:** It is recommended that the IJB approve the removal of Risk 10 (European Union Exit) from the Strategic Risk Register, as



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endorsed by the Risk, Audit and Performance Committee at its meeting on 27 April 2021; noting that any workforce risks arising from the EU Exit will be captured within Risk 9 (Workforce).

- 2.4. Note review of Risk 3:** IJB is asked to note that a review of Risk 3 (Hosted Services) will be presented to the September 2021 meeting of the Risk, Audit and Performance Committee; as supported at its Committee on 27 April 2021.

### 3. Summary of Key Information

#### 6 monthly review of Strategic Risk Register

- 3.1.** The full Strategic Risk Register is included at Appendix A. The Risk Owners have updated the Register to reflect any changes.

#### Endorsements from Risk, Audit & Performance Committee

#### RISK 11 – NEW RISK

- 3.2.** At the 23 March 2020 Integration Joint Board (IJB) a report on the inclusion of IJB's as Category 1 Responders under the Civil Contingencies Act, 2004 was considered. The IJB agreed to delegate development of a new risk to the Chair of the Risk Audit and Performance Committee. Based upon discussions between the Chair and the Business Manager of Aberdeen City Health and Social Care Partnership (ACHSCP) it was concluded that the duties outlined could not be woven into any of the other strategic risks, and a separate strategic risk was drafted on the duties under the Act.
- 3.3.** The draft wording is contained in Risk 11, in the Appendix to this report. The wording of the risk replicates the risk in Aberdeen City Council's Corporate Risk Register and consultation has been undertaken with the Corporate Risk Lead in the Council on the draft Strategic Risk.
- 3.4.** The Risk, Audit and Performance Committee at its meeting on the 27 April 2021 considered this draft risk and recommended the inclusion of the risk on the Register to the IJB. The Committee noted that further controls and mitigations would be added to the draft risk and that officers would liaise with the Risk Manager in NHS Grampian on the risk to ensure that all relevant controls and mitigations were in place.



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### **RISK 10 – European Exit**

- 3.5. Members of the Committee will be aware that the end of the Transition Period for the United Kingdom leaving the EU expired on 31 December 2020, with the United Kingdom (UK) and the European Union (EU) reaching a “deal” to allow the UK to leave. The National Coordination Centre (set up across the UK in December 2020 to monitor issues arising from the exit from the EU across structures throughout the country) has been stood down as of 27 March 2021.
- 3.6. Any issues remaining or arising from the EU exit are managed as part of business as usual in services.
- 3.7. The Risk, Audit and Performance Committee at its meeting on the 27 April 2021 considered the removal of this risk from the strategic risk register and agreed to recommend the removal of the risk to the IJB, noting that the governance in place within both Aberdeen City Council and NHS Grampian, although stood down, was effectively on “standby” if there was a requirement to escalate matters. It was also noted that any workforce risks resulting from the EU exit would be captured within strategic risk 9 (Workforce).

### **RISK 3 – HOSTED SERVICES**

- 3.8. At an IJB workshop in October 2020, Board Members felt that was a risk in relation to the financial oversight of Hosted Services and that performance across the services was mixed. It was suggested that the impact for this risk should be moved from moderate to major and that this should be discussed further at the Risk, Audit and Performance Committee (RAPC).
- 3.9. RAPC on 21 January 21 agreed that the “deep dive” on this specific risk be brought back to the Committee once work had concluded on an audit being undertaken by NHS Grampian on hosted services. Further consideration between the Chair of RAPC and officers determined that further work be undertaken to look at both the services hosted by the City IJB, as well as those services being hosted by Aberdeenshire and Moray IJBs. Included in this review will be an examination of control measures and mitigating actions in place in relation to hosted services to help provide assurance to the IJB.
- 3.10. The Risk, Audit and Performance Committee at its meeting on the 27 April 2021 considered a proposal that the outcome of this review (including a sense check against the outcomes of the NHS Grampian Audit) be reported to the RAPC on 23 September 2021. The Committee noted these details.



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### Further review of Risk

- 3.11. It is proposed to hold an Integration Joint Board workshop in October 2021 to allow Members to review both the Strategic Risk Register as well as the Board's Risk Appetite Statement. The outcome of this review is proposed to be presented to the March 2022 Integration Joint Board.

### 4. Implications for IJB from this report in relation to:

- 4.1. **Equalities** – while there are no direct implications, equalities implications will be considered when implementing certain mitigations.
- 4.2. **Fairer Scotland Duty** – while there are no direct implications the Fairer Scotland duty will be considered, where appropriate, where implementing certain mitigations
- 4.3. **Financial** – while there are no direct implications, financial implications will be considered when implementing certain mitigations.
- 4.4. **Workforce** - there are no direct implications.
- 4.5. **Legal** - there are no direct implications.
- 4.6. **Covid-19** – Aberdeen City Health & Social Care Partnership (ACHSCP) has been working closely with NHS Grampian and Aberdeen City Council during the response to the pandemic. This work builds on the collaborative working and systems put in place during the pandemic to ensure a continued co-ordinated response to the wellbeing of citizens across Aberdeen.
- 4.7. **Unpaid Carers** – There are no direct implications relating to unpaid carers in this report.
- 4.8. **Other** - none.

### 5. Links to ACHSCP Strategic Plan



- 5.1. Ensuring a robust and effective risk management process will help the ACHSCP achieve the strategic priorities as outlined in its strategic plan, as it will monitor, control and mitigate the potential risks to achieving these. The Strategic Risks have been aligned to the Strategic Plan 2019-2022.



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### 6. Management of Risk

- 6.1. **Identified risks(s):** all known risks
- 6.2. **Link to risks on strategic or operational risk register:** all risks as captured on the strategic risk register.
- 6.3. **How might the content of this report impact or mitigate these risks:**  
Ensuring a robust and effective risk management process will help to mitigate all risks.

Approvals	
	Sandra Macleod (Chief Officer)
	Alex Stephen (Chief Finance Officer)